

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.USDIO.GOV

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/766,133

LOS ANGELES, CA 90025

08791

01/19/2001

Jonathan E. Lowthert

42390P10893

Date Mailed: 02/28/2001

CONFIRMATION NO. 9485

FORMALITIES LETTER

OC000000005810516

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The oath or declaration is unsigned.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN

12400 WILSHIRE BOULEVARD, SEVENTH FLOOR

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/22/2001 AWONDAF1 00000121 09766133

01 FC:105

130.00 OP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

JONATHAN E. LOWTHERT, ET AL.

Application No.: 09/766,133

Filed: January 19, 2001

CONTENT WITH ADVERTISEMENT INFORMATION For:

SEGMENT

Assistant Commissioner for Patents Attention: Box Missing Parts Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed February 28, 2001, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
 - (2) a check in the amount of \$130.00 in payment of the surcharge of 37 CFR § 1.16(e);
 - (3) a copy of the Notice to File Missing Parts of Application.
 - (4) substitute drawings in compliance with 37 CFR 1.84 (if required).

If any additional fee is required, please charge Deposit Account No. 02-2666. An extra copy of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated:

March 14, 2001

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025

Telephone: (503) 684-6200

Paul A. Mendonsa, Reg. No. 42,879

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts,

Washington, D.C. 20231 on: March 14, 2001.

03/14/01

Date

MAR 2 0 2001 lease type a plus sign (+) his ide this box Under the Papile rk Reduction Act of 1995, no persons are

PTO/SB/17 (12/99)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2000

MOUNT OF PAYMENT (\$) 130.00

Complete if Known				
Application No.	09/766,133			
Filing Date	January 19, 2001			
First Named Inventor	Jonathan E. Lowthert			
Examiner Name	Not Assigned			
Group/Art Unit	2611			
Attorney Docket Number	42390P10893			

MEXPOD OF PAYMENT (check one)	FEE CALCULATION (continued)				
TRANSMA The Commissioner is hereby authorized to	3. ADDITIONAL FEE				
credit any overpayments to:	Large Entity Small Entity				
Account Number 02-2666	Fee Fee Fee Fee Fee Description Fee F	Paid			
Deposit	Code (\$) Code (\$)	777			
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	127 50 227 25 Surcharge - late provisional filing fee or	0.00			
Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16,1.17, 1.18 and 1.20.	cover sheet. 139 130 139 130 Non-English specification				
	147 2,520 147 2,520 For filing a request for reexamination				
Applicant claims small entity status. See 37 CFR 1.27.	112 920* 112 920*Requesting publication of SIR prior to Examiner action				
2. 🔀 Payment Enclosed:	113 1,840* 113 1,840*Requesting publication of SIR after				
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ Other	Examiner action				
FEE CALCULATION	115 110 215 55 Extension for response within first month				
1. BASIC FILING FEE	116 390 216 195 Extension for response within second month				
Large Entity Small Entity	117 890 217 445 Extension for response within third month 118 1,390 218 695 Extension for response within fourth month				
Fee Fee Fee Fee Description Fee Paid	128 1.890 228 945 Extension for response within fifth month				
Code (\$) Code (\$)	119 310 219 155 Notice of Appeal				
101 710 201 355 Utility filing fee	120 310 220 155 Filing a brief in support of an appeal				
106 320 206 160 Design filing fee	121 270 221 135 Request for oral hearing				
107 490 207 245 Plant filing fee	138 1.510 138 1.510 Petition to institute a public use proceeding				
108 710 208 355 Reissue filing fee	140 110 240 55 Petition to revive - unavoidable				
114 150 214 75 Provisional filing fee	141 1.240 241 620 Petition to revive - unintentional				
SUBTOTAL (1) (\$)	142 1,240 242 620 Utility issue fee (or reissue)				
2. EXTRA CLAIM FEES Extra Fee from	143 440 243 220 Design issue fee				
Claims below Fee Paid	144 600 244 300 Plant issue fee				
Total Claims X = X	122 130 122 130 Petitions to the Commissioner				
Independent X = X	123 130 123 130 Petitions related to provisional applications				
Multiple Dependent =	126 180 126 180 Submission of Information Disclosure Stmt				
**or number previously paid, if greater, For Reissues, see below	581 40 581 40 Recording each patent assignment per				
Large Entity Small Entity	property (times number of properties)				
Fee Fee Fee Fee Description	146 710 246 355 Filing a submission after final rejection (37 CFR 1.129(a))				
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	149 710 249 355 For each additional invention to be				
102 80 202 40 Independent claims in excess of 3	examined (37 CFR 1.129(b))				
104 260 204 135 Multiple Dependent claim, if not paid					
109 80 209 40 **Reissue independent claims over original patent	169 900 169 900 Request for expedited examination of a design application				
110 18 210 9 **Reissue claims in excess of 20	Other fee (specify)				
and over original patent	Other fee (specify)				
SUBTOTAL (2) (\$)	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 13	30.00			

Complete (if applicable) SUBMITTED BY (503) 684-6200 Registration No. 42,879 Telephone Name (Print/Type) Paul A Mendonsa (Attorney/Agent) 03/14/01 Signature Date



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6 PE		Complete if Known					
个' FEE TRANSMI	TTAL	Application No.	09/766,133				
for FY 200	n ·	Filing Date	January 19, 2001				
MAR 2 0 2001	U	First Named Inventor	Jonathan E. Lowthert				
alent fees are subject to annual rev	rision.	Examiner Name	Not Assigned				
	400.00	Group/Art Unit	2611				
TOTAL MOUNT OF PAYMENT	(\$) 130.00	Attorney Docket Number	42390P10893				

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)				
1 The Commissioner is hereby authorized to	3. ADDITIONAL FEE				
1. In a Commissioner is nereby authorized to credit any overpayments to:	arge Entity Small Entity				
Account 02-2666	Fee Fee Fee	Fee Description	Fee Paid		
Number	Code (\$) Code (\$)				
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	127 50 227 25 Surch	arge - late filing fee or oath arge - late provisional filing fee or sheet.	130.00		
Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16,1.17, 1.18 and 1.20.		English specification			
Applicant claims small entity status.	·	ing a request for reexamination			
See 37 CFR 1.27.		esting publication of SIR prior to iner action			
2. ☐ Payment Enclosed: ☐ Check ☐ Credit card ☐ Money ☐ Other ☐ Order ☐ Other		esting publication of SIR after iner action			
Order Order	115 110 215 55 Extens	sion for response within first month			
FEE CALCULATION	116 390 216 195 Extens	sion for response within second month			
1. BASIC FILING FEE		sion for response within third month			
Large Entity Small Entity		sion for response within fourth month			
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	- '	sion for response within fifth month			
101 710 201 355 Utility filing fee	119 310 219 155 Notice	' '			
106 320 206 160 Design filing fee	•	a brief in support of an appeal			
107 490 207 245 Plant filing fee	•	est for oral hearing			
108 710 208 355 Reissue filing fee		on to institute a public use proceeding			
114 150 214 75 Provisional filing fee		on to revive - unavoidable			
SUBTOTAL (1) (\$)	,	on to revive - unintentional			
	•	issue fee (or reissue)			
2. EXTRA CLAIM FEES Extra Fee from	143 440 243 220 Desig	l.			
Claims below Fee Paid Total Claims	144 600 244 300 Plant 122 130 122 130 Petitio	ons to the Commissioner			
Independent		ons related to provisional applications			
Claims = X = = Multiple Dependent		ission of Information Disclosure Stmt			
or number previously paid, if greater, For Reissues, see below		rding each patent assignment per			
Large Entity Small Entity	prope	rty (times number of properties)			
Fee Fee Fee Fee Description Code (\$) Code (\$)		a submission after final rejection FR 1.129(a))			
103 18 203 9 Claims in excess of 20		ach additional invention to be			
102 80 202 40 Independent claims in excess of 3		ined (37 CFR 1.129(b))			
104 260 204 135 Multiple Dependent claim, if not paid		est for Continued Examination (RCE)			
109 80 209 40 **Reissue independent claims	***	est for expedited examination esign application			
over original patent 110 18 210 9 **Reissue claims in excess of 20	Ot a de	coign approarion			
and over original patent	Other fee (specify)				
SUBTOTAL (2) (\$)	Reduced by Basic Filing Fee Paid	SUBTOTAL (3) (\$)	130.00		
SUBMITTED BY Complete (if applicable)					
Name (PrinuType) Paul A Mendonsa	Registration No. (Attorney/Agent) 42,879				

Signature Date 03/14/01

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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2/				
TRANSMITTAL F	ORM	Application No.	09/766,133	
		Filing Date	January 19, 2001	
(to be used for all correspondence after	er initial filing)	First Named Inventor	Jonathan E. Lowthert	
		Group Art Unit	2611	
		Examiner Name	Not Assigned	
Total Number of Pages in This Submissi	on	Attorney Docket Number	42390P10893	
ENCLO	SURES (chec	k all that apply)		
Fee Transmittal Form	Assignmen (for an App	t Papers lication)	After Allowance Communication to Group	
Fee Attached	☐ Drawing(s)		Appeal Communication to Board of Appeals and Interferences	

×	Fee Transmittal	Form		Assignment F (for an Applic	Papers ation)		After Allowance Communication to Group
	Fee Atta	ched		Drawing(s)			Appeal Communication to Board of Appeals and Interferences
	Amendment / R	esponse		Licensing-rela	ated Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief,
	After Final	al s/declaration(s)		Petition Routi and Accompa	ng Slip (PTO/SB/69) Inying Petition		Proprietary Information
	Extension of Tir	ne Request		To Convert a Provisional A	pplication		Status Letter
	Express Aband	onment Request		Power of Atto Change of Co	rney, Revocation prespondence Address	×	Additional Enclosure(s) (please identify below):
	Information Disc	closure Statement		Terminal Disc	claimer		- Dec/POA signed - Check for \$130.00
	Certified Copy of Document(s)	of Priority		Small Entity Statement			- PTO Form 1533 - Return Receipt Postcard
×	Response to Mi Incomplete App	issing Parts/ lication		Request for Refund		L	
Response to Missing		Remarks					
Response to Missing Parts under 37 CFR 1.52 or 1.53							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
	Firm Paul A. Mendonsa, Reg. No. 42,879						
<i>or</i> Ind	Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP						
Sig	Signature Hand A Mundonson						
Da	Date March 14, 2001						

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

			Wiaren 14, 2001
Typed or printed name	Deborah L. Higham		
Signature	SQHYOZ.	Date	March 14, 2001